

REQUEST FOR APPLICATION

The California Governor's Office of Emergency Services (Cal OES), Victim Services (VS) Branch, is soliciting applications for the following program:

LAW ENFORCEMENT TRAINING (PO) PROGRAM

Release Date: May 20, 2022

This Request for Application (RFA) provides detailed information and forms necessary to prepare an application for Grant Subaward funds. The terms and conditions of this RFA supersede previous RFAs and conflicting provisions stated in the Subrecipient Handbook (SRH).

PROGRAM SYNOPSIS

Program Description:

The purpose of the PO Program is to train law enforcement personnel in the areas of sexual assault, domestic violence, stalking, and culturally specific dynamics as it relates to these crimes.

Eligibility:

The only eligible Applicant is the Regional Training Center.

Grant Subaward Performance Period:

October 1, 2022 - September 30, 2023.

Submission Deadline:

Tuesday, September 20, 2022



TABLE OF CONTENTS

PART	I – OVERVIEW	1
Α.	PUBLIC RECORDS ACT NOTICE	1
В.	CONTACT INFORMATION	
C.	SUBMISSION DEADLINE AND INSTRUCTIONS	1
D.	PROGRAM ELIGIBILITY	1
E.	GRANT SUBAWARD PERFORMANCE PERIOD	2
F.	FUNDING INFORMATION	2
	1. Funding Amount	2
	2. Funding Source(s)	
G.	PROGRAMMATIC INFORMATION	3
PART	II – RFA INSTRUCTIONS	7
	SUBRECIPIENT HANDBOOK	
	APPLICATION FORMS	
	1. Grant Subaward Face Sheet (Cal OES Form 2-101)	7
	2. Grant Subaward Contact Information (Cal OES Form 2-102)	
	3. Grant Subaward Signature Authorization (Cal OES Form 2-103)	
	4. Grant Subaward Certification of Assurance of Compliance & STOP	
	Federal Fund Grant Subaward Assurances (Cal OES Forms 2-104 & 2-	
	109d)	8
	5. Grant Subaward Budget Pages (Cal OES Form 2-106a)	8
	6. Grant Subaward Budget Narrative (Cal OES Form 2-107)	
	7. Grant Subaward Programmatic Narrative (Cal OES Form 2-108)	
	8. Subrecipient Grants Management Assessment	
	9. Grant Subaward Service Area Information (Cal OES Form 2-154)	
	10. Organizational Chart	
	11. Additional Forms/Documents	
C.	BUDGET POLICIES	
	ADMINISTRATIVE REQUIREMENTS	
PART	III – CHECKLIST	6

PART I – OVERVIEW

- A. PUBLIC RECORDS ACT NOTICE
- B. CONTACT INFORMATION
- C. SUBMISSION DEADLINE AND INSTRUCTIONS
- D. PROGRAM ELIGIBILITY
- E. GRANT SUBAWARD PERFORMANCE PERIOD
- F. FUNDING INFORMATION
- G. PROGRAMMATIC INFORMATION

A. PUBLIC RECORDS ACT NOTICE

Grant Subaward applications are subject to the California Public Records Act, Government Code Section 6250, et seq. Do not put any personally identifiable information or private information on this application. If you believe that any of the information you are putting on this application is exempt from the Public Records Act, please indicate what portions of the application and the basis for the exemption. The Applicant's statement that the information is not subject to the Public Records Act will not guarantee that the information will not be disclosed.

B. CONTACT INFORMATION

Questions concerning this RFA, the application process, or programmatic issues should be submitted to:

Richard Bunch, Program Specialist Richard.Bunch@caloes.ca.gov - (916) 845-8243

C. SUBMISSION DEADLINE AND INSTRUCTIONS

Applications must be emailed to <u>VSapplications@caloes.ca.gov</u> by 5:00 pm on Tuesday, September 20, 2022.

D. PROGRAM ELIGIBILITY

The only eligible Applicant is the Regional Training Center.

Before the PO Program Grant Subaward performance period begins, the Applicant:

- Must have a Unique Entity Identifier (Unique Entity ID) registered in the federal System for Award Management (SAM). Applicants who do not currently have a Unique Entity ID will need to register at SAM.gov to obtain one.
- Must <u>not</u> have an exclusion record in SAM. An exclusion record in the SAM indicates that a contractor (agency) is listed in the (federal) government-wide system for debarment and suspension. An agency that is debarred or suspended is excluded from activities involving federal financial and nonfinancial assistance and benefits. <u>Check SAM status</u>.

E. GRANT SUBAWARD PERFORMANCE PERIOD

The Grant Subaward performance period is October 1, 2022 – September 30, 2023.

F. FUNDING INFORMATION

There is \$415,523 available for the Program for the Grant Subaward performance period. Funding is contingent upon availability of funds and passage of the 2022-23 State Budget Act.

1. Funding Amount

Applicants may apply for up to \$415,523, for the 12-month Grant Subaward performance period.

Please see the chart below for the breakdown of the fund sources (by four-character code) and match.

2021 STOP	2021 STOP MATCH	2022 STOP	2022 STOP MATCH	TOTAL PROJECT COST
\$173,134	\$57,711	\$242,389	\$80,796	\$554,030

2. Funding Source(s)

Detailed information on all VS Branch federal fund sources can be found in the <u>VS Branch Federal Fund Information Guide</u>. Applicants are **strongly encouraged** to review this document to familiarize themselves with the requirements for all fund sources that support this Program.

The Program is supported through the Services*Training*Officers*
Prosecutors (STOP) Violence Against Women Formula Grant Program

- Promotes the development and the implementation of effective, victim-centered law enforcement, prosecution, and court strategies to address violent crimes against women and the development and enhancement of victim services in cases involving violent crimes against women.
- Requires a cash or in-kind match equal to 25 percent of the total project cost. Tribes and victim service providers are exempt.
 - To request a match waiver for more than one STOP fund source, Applicants must submit the STOP Match Waiver Request form (Attachment A) with their application. <u>One form</u> per each STOP fund source is required.
- Cal OES's four-character code for this federal fund is STOP. This
 code will be in the drop-down on the Grant Subaward Face Sheet
 (Cal OES Form 2-101).
- The federal award numbers are:
 - o 2021 15JOVW-21-GG-00554-STOP
 - 2022 The federal award number is unknown at the time of release of this RFA. Contact your Program Specialist if you need this information.

G. PROGRAMMATIC INFORMATION

1. Program Description

The purpose of the Program is to train law enforcement personnel in the areas of sexual assault, domestic violence, stalking, and culturally specific dynamics as it relates to these crimes.

- 2. Program Components
 - a. Law Enforcement Training Advisory Council (Advisory Council)

The Subrecipient must continue to coordinate and facilitate Advisory Council meetings as a way of soliciting recommendations and feedback from stakeholders.

b. Update Training Curriculum

The Subrecipient must work with the Advisory Council to significantly update/revise at least one of the five training curriculum each performance period. The training curriculum to be updated/revised must rotate to ensure each training curriculum is updated appropriately.

c. Training for Law Enforcement Personnel

The Subrecipient must conduct, at a minimum, the following trainings:

- Domestic Violence for First Responders four trainings.
- Sexual Assault for First Responders four trainings.
- Domestic Violence for Public Safety Dispatchers three trainings.
- Sexual Assault for Public Safety Dispatchers three trainings.
- Institute of Criminal Investigation (ICI) Domestic Violence for Criminal Investigators – three trainings.

d. Outreach to Law Enforcement Personnel

The Subrecipient must promote and publicize the training(s) and the availability of scholarships, if applicable. The Subrecipient must propose an outreach plan to disseminate training information and facilitate registration.

e. Scholarships for Law Enforcement Personnel

The Subrecipient must budget ten percent of the total project cost (STOP funds plus match) to provide scholarships for local law enforcement personnel to attend the PO Program trainings. Scholarships must cover travel and lodging expenses.

f. Sexual Assault Training Requirements

The Sexual Assault for First Responder training must include the following topic areas:

a. California Penal Code Section 13823.95
 (Sexual Assault Forensic Medical Examination)

No costs incurred by a qualified health care professional, hospital, or other emergency medical facility for the examination of the victim of a sexual assault, as described in the protocol developed pursuant to Section 13823.5.

b. Polygraph Testing Prohibition

Under 42 U.S.C. 3796gg-8(b), the refusal of a victim to submit to a polygraph or other truth telling examination shall not prevent the investigation, charging, or prosecution of an alleged sex offense by a state, Indian tribal government, territorial government, or unit of local government.

g. Operational Agreements/Second-Tier Subawards

Although not required by the **PO Program**, the Subrecipient may choose to enter into an Operational Agreement (OA) and/or a Second-Tier Subaward with one or more participating agencies to assist with the implementation of their Grant Subawards.

An OA is a formal agreement, without the exchange of money, between an implementing agency and one or more participating agencies (SRH Section 7.005). A Second-Tier Subaward is a formal agreement that includes the exchange of money between an implementing agency and a participating agency to further the goals of the Grant Subaward (SRH Section 7.010).

3. Reporting Requirements

Progress Reports serve as a record for the implementation of the Grant Subaward. Statistics for Progress Reports must be collected on a quarterly basis, even when reporting occurs less frequently. The following reports are required:

a. Cal OES Progress Reports

There are two Cal OES Progress Reports required for the Program. See the chart for report periods and due dates.

Report	Report Period	Due Date
1st Report	October 1, 2022 – March 31, 2023	April 30, 2023
Final Report	April 1, 2023 – September 30, 2023	October 31, 2023

b. Office on Violence Against Women (OVW) Report

Subrecipients receiving STOP Violence Against Women Formula Grant Program funds must complete the Annual Progress Report for the STOP Violence Against Women Formula Grant Program. This report covers the calendar year period of January 1 through December 31. This report is due to Cal OES no later than January 31, 2023.

PART II – RFA INSTRUCTIONS

- A. SUBRECIPIENT HANDBOOK
- B. APPLICATION FORMS
- C. BUDGET POLICIES
- D. ADMINISTRATIVE REQUIREMENTS

A. SUBRECIPIENT HANDBOOK

Applicants are strongly encouraged to review the <u>SRH</u>. The *SRH* outlines the terms and conditions that apply to Cal OES VS Branch Grant Subawards and provides helpful information for developing an application, including a Glossary of Terms.

B. APPLICATION FORMS

The Applicant must use the forms provided on our website. The forms must be printed on plain white 8½" x 11" paper and single sided. Applicants may not alter the formatting of any forms, including the Grant Subaward Programmatic Narrative (Cal OES Form 2-108) – with a revision date of 4/2021 or later – and the Grant Subaward Budget Narrative (Cal OES Form 2-107) – with a revision date of 4/2021 or later.

Applicants must complete and submit all required forms. All forms have written instructions. General information regarding each form is below. The Checklist in Part III is included to ensure Applicants submit all required components.

1. Grant Subaward Face Sheet (Cal OES Form 2-101)

This form is the title page of the Grant Subaward that is signed by the Official Designee (SRH Section 3.030) and the Cal OES Director (or designee).

2. Grant Subaward Contact Information (Cal OES Form 2-102)

This form provides Cal OES with contact information for all relevant Subrecipient personnel. Information for each individual should be direct contact information.

3. Grant Subaward Signature Authorization (Cal OES Form 2-103)

This form provides Cal OES with signatures of authorized signers and who they authorize to sign on their behalf for all Grant Subaward-related matters.

4. Grant Subaward Certification of Assurance of Compliance & STOP Federal Fund Grant Subaward Assurances (Cal OES Forms 2-104 & 2-109d)

These forms are a binding affirmation that the Subrecipient will comply with the following regulations and restrictions:

- State and federal civil rights laws
- Drug Free Workplace Act of 1990
- California Environmental Quality Act
- Federal grant fund requirements
- Lobbying restrictions
- Debarment and Suspension requirements
- Proof of Authority documentation from the city council/governing board

Subrecipients may be asked to sign and submit an updated STOP Federal Fund Grant Subaward Assurances (Cal OES Form 2-109d) once in Grant Subaward. Every year, Cal OES updates the STOP Federal Fund Grant Subaward Assurances (Cal OES Form 2-109d) to ensure that any new conditions placed upon the federal award are passed down to Subrecipients. Subrecipients will be notified if this change is needed.

5. Grant Subaward Budget Pages (Cal OES Form 2-106a)

This form demonstrates how the Applicant will implement the proposed plan with the funds available through this Program. This is the basis for management, fiscal review, and audit. **Grant Subaward Budget Pages** (Cal OES Form 2-106a) are subject to Cal OES modifications and approval. Failure of the Applicant to include required items does not eliminate responsibility to comply with those requirements during the implementation of the Grant Subaward.

The Grant Subaward Budget Pages (Cal OES Form 2-106a) automatically calculate the subtotal at the end of each budget category and provide the total of the three spreadsheets at the

bottom of the Equipment Costs page. Applicants may add additional columns to the Grant Subaward Budget Pages (Cal OES Form 2-106a) when necessary.

Cal OES requires the Applicant to develop a line-item budget that includes a calculation and justification in the left column for all costs.

The Grant Subaward Budget Pages (Cal OES Form 2-106a) should:

- Cover the entire Grant Subaward performance period.
- Include costs related to the objectives and activities of the Grant Subaward.
- Strictly adhere to required and prohibited costs.
- Include costs in the correct category (i.e., Personnel Costs, Operating Costs, and Equipment Costs – see below).

Include **only** those items to be covered by Grant Subaward funds, including match funds, when applicable. Applicants may supplement Grant Subaward funds with funds from other sources. However, since approved line items are subject to audit, Applicants should not include on the Grant Subaward Budget Pages (Cal OES 2-106a) matching funds (if applicable) in excess of the required match.

- a. Personnel Costs Salaries/Employee Benefits
 - 1) Salaries

Personnel includes Grant Subaward services performed by staff <u>directly employed by the Applicant</u> (not a contract or Participating Agency) and must be identified by position, cost, and time spent on allowable activities for the Grant Subaward (e.g., Clerical Staff @ \$20/hour x 980 hours; or Victim Advocate @ \$3,500/month x 12 months x .50 FTE). Personnel may be salaried or hourly, full-time or part-time positions. Sick leave, vacation, holidays, overtime, and shift differentials must also be allocated as a part of salaries. If the Applicant's personnel have accrued sick leave or vacation time prior to the approval the Grant Subaward, they may not take time off using Grant Subaward funds.

2) Benefits

Employee benefits must be identified by type and percentage of salaries. The Applicant may use fixed percentages of salaries to calculate benefits. Allocated benefits cannot exceed those already established by the Applicant.

Employer contributions or costs for social security, employee life and health insurance plans, unemployment insurance, and/or pension plans are allowable. Benefits, such as uniforms or California Bar Association dues are allowable if negotiated as a part of an employee benefit package.

A line item is required for each different position/classification, but not for each individual employee. If several people will be employed full-time or part-time in the same position/classification, provide the number of full-time equivalents (e.g., three half-time clerical personnel should be itemized as 1.5 clerical positions).

Additional information on Personnel Costs can be found in *SRH Part 3*.

b. Operating Costs

Operating costs are defined as necessary expenditures other than personnel salaries, benefits, and equipment. The costs must be Grant Subaward-related (i.e., to further the Program objectives as defined in the Grant Subaward) and be encumbered during the Grant Subaward performance period.

Examples of common operating costs include, but are not limited to:

- Audit costs (SRH Section 14.035)
- Computers with an acquisition cost of \$4,999 or less
- Computer equipment rentals
- Consultant services (SRH Section 6.050)
- Equipment service and maintenance agreements
- Financial Assistance for clients (SRH Section 4.040)
- Furniture and office equipment (\$4,999 or less)
- Indirect costs (SRH Section 4.045)

- Insurance (e.g., vehicle, fire, bonding, theft, and liability)
- Internet access
- Office supplies
- Office rental space (SRH Section 4.055)
- Postage
- Printing
- Second-Tier Subawards (SRH Section 7.010)
- Software
- Training materials
- Travel and per diem (SRH Section 4.065)
- Utilities
- Vehicle maintenance

Additional information on Operating Costs can be found in SRH Part 4.

c. Equipment Costs

Equipment is defined as nonexpendable tangible personal property having a useful life of more than one year and a cost of \$5,000 or more per unit (excluding tax).

A line item is required for different types of equipment, but not for each specific piece of equipment (e.g., three copy machines must be one line item, not three).

Additional information on Equipment Costs can be found in *SRH Part 5*.

6. Grant Subaward Budget Narrative (Cal OES Form 2-107)

This form should describe the following:

- How the line items on the Grant Subaward Budget Pages (Cal OES Form 2-106a) support the objectives and activities.
- How funds are allocated to minimize administrative costs and support direct services.
- How shared costs are allocated.
- How Grant Subaward-funded staff duties and time commitments support the proposed objectives and activities.

- The necessity for subcontracts and unusual costs.
- The need for mid-year salary range adjustments.
- 7. Grant Subaward Programmatic Narrative (Cal OES Form 2-108)

This form is the main body of information describing the problem to be addressed, the plan to address the identified problem through appropriate and achievable objectives and activities, and the ability of the Applicant to implement the proposed plan.

a. Problem Statement

No Problem Statement is required.

b. Plan

In narrative form, address the following:

- 1) Describe the plan to coordinate, facilitate, and utilize the Advisory Council.
- 2) Provide a synopsis of the training curriculum and indicate the course to be updated during this performance period.

 Describe the update to the individual training course.
- 3) Estimate the number and indicate the type of training courses to be conducted for law enforcement personnel.
- 4) Describe the publicity effort that will be implemented to facilitate enrollment of law enforcement personnel in the trainings.
- 5) Describe the plan for providing scholarships to law enforcement personnel and the process utilized to determine eligibility.
- 6) Indicate the Sexual Assault training requirements and how the requirements will be met.

8. Subrecipient Grants Management Assessment

Per title 2 CFR § 200.331, Cal OES is required to evaluate the risk of noncompliance with federal statutes, regulations, and terms and conditions posed by each Subrecipient of pass-through funding. The assessment is made in order to determine and provide an appropriate level of technical assistance, training, and oversight to Subrecipients.

9. Grant Subaward Service Area Information (Cal OES Form 2-154)

This form identifies the counties, cities, and congressional districts served by the Grant Subaward.

10. Organizational Chart

The Organizational Chart should clearly depict the structure of the Applicant organization and the specific unit within the organization responsible for the implementation of the Grant Subaward. This chart should also depict supporting units within the organization (e.g., the Accounting Unit) and the lines of authority within the organization. Job titles on the Organizational Chart must match those on the Grant Subaward Budget Pages (Cal OES Form 2-106a) and Grant Subaward Budget Narrative (Cal OES 2-107) (if required).

11. Additional Forms/Documents

The following are required only if applicable:

Match Waiver Request

This form is required only if the Applicant is requesting to waive a portion, or all, of the required Match. See Part I, F., 1 for additional information.

Operational Agreements Summary Form (Cal OES Form 2-160)

This form lists the OAs a Subrecipient has with participating agencies/organizations.

Non-Competitive Procurement Request (Cal OES Form 2-156)

This form is required only if the Applicant proposes a line item on their Grant Subaward Budget Pages (Cal OES Form 2-106a) that meets the criteria for a Non-Competitive Procurement Request per SRH Section 6.045.

 Independent Contractor/Consultant Rate Exemption Request (Cal OES Form 2-164)
 This form is required only if the Applicant is requesting an exemption to the maximum rate for an independent contractor/consultant of \$650 per eight-hour day or \$81.25 per hour per SRH Section 6.050.

C. BUDGET POLICIES

The following sections of the <u>SRH</u> may be helpful in developing the Grant Subaward Budget Pages (Cal OES 2-106a) and Grant Subaward Budget Narrative (Cal OES 2-107) (if required):

- Additional Rental Space (SRH Section 4.055)
- Audit Costs (SRH Section 14.055)
- Automobiles (SRH Section 5.020)
- Contracting and Procurements Requirements (SRH Part 6)
- Equipment and Equipment Costs Requirements (SRH Part 5)
- Expert Witness Fees (SRH Section 6.050)
- Independent Contractor/Consultant (SRH Section 6.050)
- Indirect Cost or Facilities and Administration (SRH Section 4.045)
- Match Requirements (SRH Section 9.060)
- Facility Rental (SRH Section 4.055)
- Prohibited Operating Costs (SRH Section 4.070)
- Grant Subaward and Other Income (SRH Section 9.075)
- Supplanting Prohibited (SRH Section 1.065)
- Travel (SRH Section 4.065)

D. ADMINISTRATIVE REQUIREMENTS

Subrecipients must administer their Grant Subaward in accordance with all <u>SRH</u> requirements. Failure to comply with these requirements can result in the withholding or termination of the Grant Subaward. The following section may be helpful for developing an application and for planning purposes:

- Audit Requirements (SRH Part 14)
- Communication and Internet Access (SRH Section 1.070)
- Intellectual Property, Copyright, and Patent Requirements (SRH Part 8)

- Fidelity Bond/Certificate of Insurance (SRH Section 2.015)
- Monitoring (SRH Part 13)
- Report of Expenditures and Request for Funds (SRH Section 9.025)
- Records Requirements (SRH Part 12)

PART III - CHECKLIST

This c	heckli	st is provided to ensure that a complete application is submitted to Cal OES.				
		NT SUBAWARD FACE SHEET (<u>Cal OES FORM 2-101</u>) – Signed by the Official gnee authorized to enter into the Grant Subaward.				
	GRAI	NT SUBAWARD CONTACT INFORMATION (<u>Cal OES FORM 2-102</u>)				
	GRAI	NT SUBAWARD SIGNATURE AUTHORIZATION (<u>Cal OES FORM 2-103</u>)				
	2-104	NT SUBAWARD CERTIFICATION OF ASSURANCE OF COMPLIANCE (Cal OES FORM 4) – Signed by the Official Designee who signed the Grant Subaward Face Sheet by the official delegating that authority				
	STOP FEDERAL FUND GRANT SUBAWARD ASSURANCES (Cal OES FORM 2-109d) – Signed by the Official Designee who signed the Grant Subaward Face Sheet					
	GRANT SUBAWARD BUDGET PAGES (EXCEL SPREADSHEET FORMAT) (Cal OES FORM 2-106a) Budget Pages Multiple Fund Source					
	GRAI	NT SUBAWARD BUDGET NARRATIVE (<u>Cal OES FORM 2-107</u>)				
GRANT SUBAWARD PROGRAMMATIC NARRATIVE (<u>Cal OES FORM 2-108</u>)						
	•	PLAN				
	SUBRECIPIENT GRANTS MANAGEMENT ASSESSMENT					
	GRANT SUBAWARD SERVICE AREA INFORMATION (Cal OES FORM 2-154)					
	ORGANIZATIONAL CHART					
	ADDI	ITIONAL FORMS, IF APPLICABLE				
		MATCH WAIVER REQUEST(S)				
		OPERATIONAL AGREEMENTS SUMMARY FORM (Cal OES FORM 2-160)				
		NON-COMPETITIVE PROCUREMENT REQUEST (Cal OES FORM 2-156)				
		INDEPENDENT CONTRACTOR/CONSULTANT RATE EXEMPTION REQUEST				
		(<u>Cal OES FORM 2-164</u>)				